SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and <u>Wyant S</u>		porting Person <sup>*</sup>	2. Date of E Requiring S (Month/Day 12/18/202	Statement //Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Nxu, Inc.</u> [ NXU ]						
(Last) (First) (Middle) C/O NXU, INC.					4. Relationship of Reporting Issuer (Check all applicable)				5. If Amendment, Date of Original Filed (Month/Day/Year)		
· · · · · · · · · · · · · · · · · · ·	GLEY RD.,	SUITE 116			Director X Officer (give title below)	10% Owner Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(Street) MESA	AZ	85205	_		Interim C						
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)				E	2. Amount of Securities Beneficially Owned (Instr. I)	Form: I (D) or I	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Class A Common Stock					87,808(1)	I	D				
Class A Common Stock					6,654 <sup>(2)</sup>	D					
Class A Common Stock					13,889 <sup>(3)</sup>	I	D				
Table II - Derivative Securities Beneficially Owned(e.g., puts, calls, warrants, options, convertible securities)											
			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of S Underlying Derivative Se (Instr. 4)				5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	nt Derivative Security er		or Indirect (I) (Instr. 5)	5)		

xplanation of Responses:

1. The Reporting Person is eligible to receive an aggregate of 200,000 Restricted Stock Units, vesting in four equal monthly installments, beginning on the last day of the third quarter of 2023. On each of September 30, 2023, October 31, 2023 and November 30, 2023, the Reporting Person was granted 50,000 Restricted Stock Units, which vested on such dates. An aggregate of 62,192 shares of Class A Common Stock were sold to pay taxes. The Restricted Stock Units may be settled solely by delivery of an equal number of shares of Class A Common Stock of the Issuer.

2. The Reporting Person is eligible to receive a number of Performance Stock Units with a value of up to 6.25% of the Reporting Person's total annual compensation each quarter for four quarters, subject to the Reporting Person's continuous service and certain other performance conditions, beginning on the last day of the third quarter of 2023. The number of Performance Stock Units granted is calculated by dividing the dollar value of the award by the closing share price on the first trading day immediately preceding the 15th day of the month prior to each vesting date. On September 30, 2023, the Reporting Person was granted 13,889 Performance Stock Units, which vested on such date, and 7,235 shares of Class A Common Stock were sold to pay taxes. The Performance Stock Units may be settled solely by delivery of an equal number of shares of Class A Common Stock of the Issuer.

3. The Reporting Person is eligible to receive an aggregate of \$10,000 of Restricted Stock Units, subject to the Reporting Person's continuous service, which vest in four equal quarterly installments, beginning on the last day of the third quarter of 2023. The number of Restricted Stock Units granted each quarter is calculated on the 15th day of the month prior to each vesting date by dividing \$2,500 by the closing share price on the first trading day immediately preceding such date. On September 30, 2023, the Reporting Person was granted 13,889 Restricted Stock Units, which vested on such date. The Restricted Stock Units may be settled solely by delivery of an equal number of shares of Class A Common Stock of the Issuer.

## Remarks:

Exhibit List: Exhibit 24 -- Power of Attorney

/s/ Jordan Christensen, as attorney-in-fact for Sarah 12/26/2023 Wyant \*\* Signature of Reporting

Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Know all by these presents that the undersigned hereby constitutes and appoints each of Annie Pratt and Jordan Christensen, signing singly, the undersigned's true and lawful attorney-in-fact to:

1. Execute for and on behalf of the undersigned, in the undersigned's capacity as an officer, director or greater than 10% shareholder of Nxu, Inc. ("Nxu"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules and regulations promulgated thereunder;

2. Do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5, complete and execute any amendment or amendments thereto and timely file such form with the United States Securities and Exchange Commission and any national quotation system, national securities exchange, stock exchange or similar authority; and

3. Take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of or legally required by the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is Nxu assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by Nxu, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this December 11, 2023.

/s/ Sarah Wyant -----Sarah Wyant